

YCHARTS

One Platform for Model Delivery and Proposal Generation



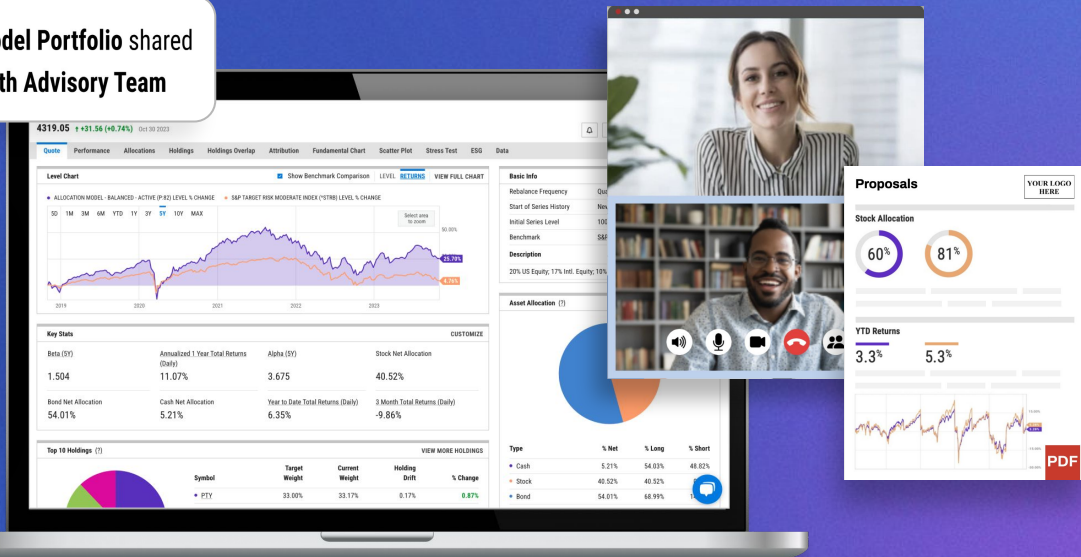
Equity Model Portfolio shared
with Wealth Advisory Team



Client
Portfolio



Recommended
Model



Your YCharts Team

Our Mission: *Enable advisors to make smarter investment decisions
and have better client communications*



Lauck Olson
VP, Enterprise Sales



Molly Fernandez
Enterprise Customer Success Manager

How YCharts Streamlines Model Delivery & Proposal Generation Workflows

- **One web-based platform creates connectivity between central research teams and field advisors across the country**
 - Research team can build and manage models in YCharts
 - Models – and updates – push automatically to advisors
 - Advisors can view models, upload client/prospect portfolios, and generate proposals
- **Empower advisors to generate proposals and win business**
 - YCharts brings proposal generation to the advisor-level, saving valuable time and accelerating the sales process
- **Achieve firm goals without compromising on compliance**
 - Enable firm-level access controls, report templates, disclosure settings, and more with a full compliance suite
- **Consolidate tech stack**
 - YCharts combines investment research, model portfolio tools, and proposal generation into one intuitive platform



20 of the Top 25 and
nearly half of the Top 100
Barron's RIA firms use
YCharts



**Over 500,000 reports and
proposals** generated on YCharts
since 2023 —and counting.



Client Testimonial

"Equipping every advisor at our firm with YCharts is a huge win. Seamless, intuitive, and impactful—this is the kind of tech that drives better decisions and happier clients."

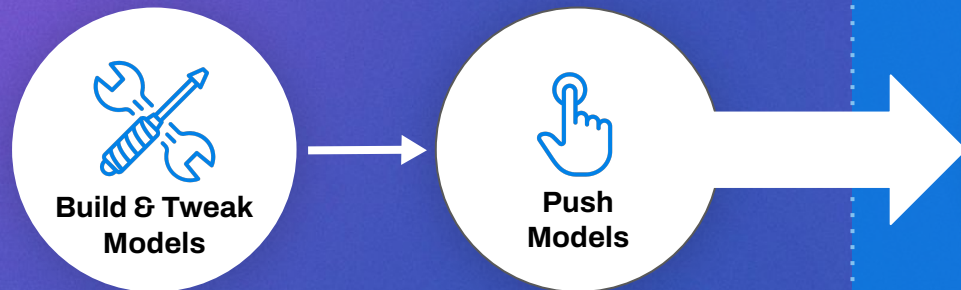
"Empowering our advisors with YCharts means they now have access to top-tier research and proposal tools. We're thrilled to see the value it's already adding for clients. We also value that YCharts is a great partner to do business with."

"We are thrilled to partner with YCharts to provide our advisor network with the best investment research and proposal tools available. This partnership underscores our commitment to equipping our advisors with best-in-class technology, ensuring they can deliver unparalleled value to their clients."

DANI FAVA,
Chief Strategy Officer



Central Research Team



Advisors



YCharts streamlines workflows for large wealth management firms within a **single platform**.

How YCharts Benefits Large RIAs

For Research Teams



Build Models



Adjust Models



Models Push Directly
to Advisors

For Advisors



Access Models from
Research Team



Upload Prospect
Portfolios



Generate Proposals
to Win New Business

For Compliance



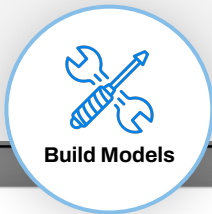
Implement Access
Controls at Firm Level



Set Report Templates
and Disclosures



Access a Firm-Wide
Report Archive



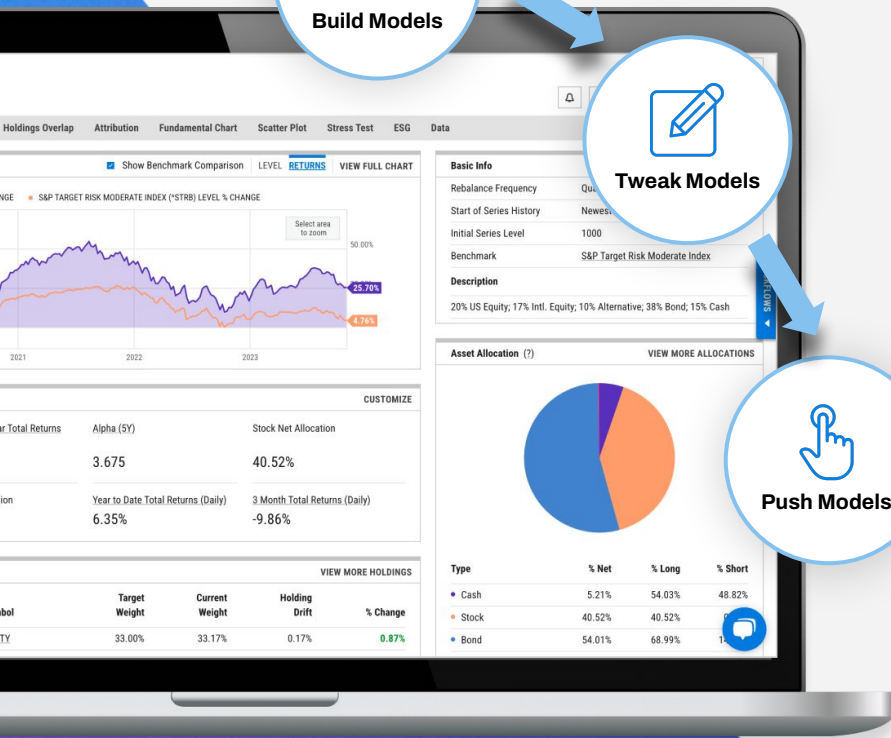
Build Models



Tweak Models



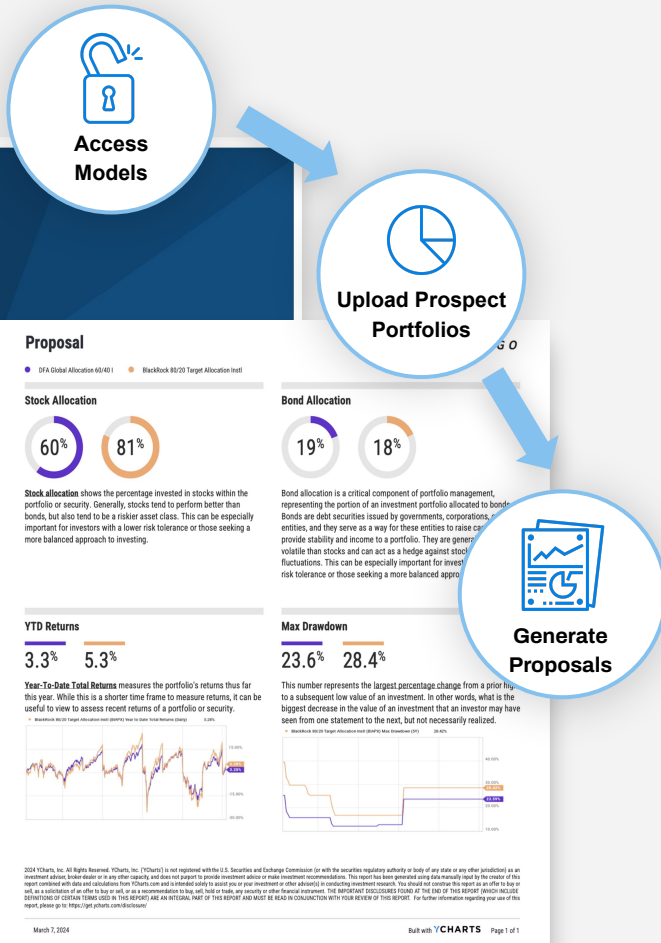
Push Models



How YCharts Benefits Your Research Team

Research teams can **build and manage models** in YCharts. Models are accessible by field advisors, and any model adjustments made by research teams are **pushed automatically**.

Firm-wide sharing capabilities also allow research teams to save and share investment ideas, such as charts and security screens, across the team.



How YCharts Benefits Your Advisors

*YCharts proposal generation tools help advisors save time, promote firm models, and **win new business.***



Compliance Benefits

Empowering advisors with tools to be self-sufficient doesn't require sacrificing compliance standards.

Firms who use YCharts receive a full compliance suite, including admin access to a report archive, report templates, firm-level access controls, and custom disclosure settings.



Key Takeaways

- YCharts connects research teams and advisors on a singular platform
- Firm models are automatically pushed from the central research team out to advisors
- Advisors can access models, generate proposals, and win new business faster
- Compliance officers receive admin access to a report archive in addition to setting report templates and firm disclosures

Thank you!

To get started with YCharts, we'd love to hear from you:

☎ (866) 344-9472

✉ enterprise@ycharts.com

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